

# Monotonicity of Zeros of Laguerre-Sobolev-Type Orthogonal Polynomials

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## Abstract

Denote by  $x_{n,k}^{M,N}(\alpha)$ ,  $k = 1, \dots, n$ , the zeros of the Laguerre-Sobolev-Type polynomials  $L_n^{(\alpha, M, N)}(x)$  orthogonal with respect to the inner product

$$\langle p, q \rangle = \frac{1}{\Gamma(\alpha + 1)} \int_0^{\infty} p(x)q(x)x^{\alpha}e^{-x}dx + Mp(0)q(0) + Np'(0)q'(0)$$

where  $\alpha > -1$ ,  $M \geq 0$  and  $N \geq 0$ . We prove that  $x_{n,k}^{M,N}(\alpha)$  interlace with the zeros of Laguerre orthogonal polynomials  $L_n^{(\alpha)}(x)$  and establish monotonicity with respect to the parameters  $M$  and  $N$  of  $x_{n,k}^{M,0}(\alpha)$  and  $x_{n,k}^{0,N}(\alpha)$ . Moreover, we find  $N_0$  such that  $x_{n,n}^{M,N}(\alpha) < 0$  for all  $N > N_0$ , where  $x_{n,n}^{M,N}(\alpha)$  is the smallest zero of  $L_n^{(\alpha, M, N)}(x)$ . Further, we present monotonicity and asymptotic relations of certain functions involving  $x_{n,k}^{M,0}(\alpha)$  and  $x_{n,k}^{0,N}(\alpha)$ .

*Key words:* Orthogonal polynomials, Laguerre polynomials, Sobolev-Type orthogonal polynomials, zeros, monotonicity, asymptotics.

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## 1 Introduction and statement of results

Consider the sequence of Laguerre-Sobolev-Type polynomials  $\{L_n^{(\alpha, M, N)}(x)\}_{n=0}^{\infty}$  which are orthogonal with respect to the inner product

$$\langle p, q \rangle = \frac{1}{\Gamma(\alpha + 1)} \int_0^{\infty} p(x)q(x)x^{\alpha}e^{-x}dx + Mp(0)q(0) + Np'(0)q'(0), \quad (1.1)$$

where  $\alpha > -1$ ,  $M \geq 0$  and  $N \geq 0$ . They were defined and studied first by Koekoek and Meijer [10]. Dueñas and Marcellán [7] considered the Laguerre-Sobolev-Type orthogonal polynomials  $\widehat{L}_n^{(\alpha, \widehat{M}, \widehat{N})}(x)$  generated by the inner product

$$\langle p, q \rangle = \int_0^{\infty} p(x)q(x)x^{\alpha}e^{-x}dx + \widehat{M}p(0)q(0) + \widehat{N}p'(0)q'(0),$$

where  $\alpha > -1$ ,  $\widehat{M} \geq 0$  and  $\widehat{N} \geq 0$ . It is clear that the sequences  $\{L_n^{(\alpha, M, N)}(x)\}_{n=0}^{\infty}$  and  $\{\widehat{L}_n^{(\alpha, \widehat{M}, \widehat{N})}(x)\}_{n=0}^{\infty}$  coincide when  $\widehat{M} = \Gamma(\alpha + 1)M$  and  $\widehat{N} = \Gamma(\alpha + 1)N$ . Hence all the results concerning the zeros of  $L_n^{(\alpha, M, N)}(x)$  obtained in this paper can be rewritten in a obvious manner substituting  $M$  by  $\widehat{M}/\Gamma(\alpha + 1)$  and  $N$  by  $\widehat{N}/\Gamma(\alpha + 1)$ .

Let  $L_n^{(\alpha)}(x)$ ,  $n = 0, 1, \dots$ , be the classical Laguerre polynomial, orthogonal with respect to the inner product

$$\langle p, q \rangle = \int_0^{\infty} p(x)q(x)x^{\alpha}e^{-x}dx$$

and normalized by (2.4) below. In the sequel we denote by  $x_{n,k}(\alpha)$  the zeros of the Laguerre polynomial  $L_n^{(\alpha)}(x)$  and by  $x_{n,k}^{M,N}(\alpha)$ ,  $x_{n,k}^M(\alpha)$ , and  $x_{n,k}^N(\alpha)$  the zeros of  $L_n^{(\alpha, M, N)}(x)$ ,  $L_n^{(\alpha, M, 0)}(x)$ , and  $L_n^{(\alpha, 0, N)}(x)$ , respectively, all arranged in decreasing order. We prove that the zeros  $x_{n,k}^{M,N}(\alpha)$  interlace with the zeros  $x_{n,k}(\alpha)$  when  $M, N > 0$  and establish the monotonicity of the zeros  $x_{n,k}^M(\alpha)$  and  $x_{n,k}^N(\alpha)$  with respect to the parameters  $M$  and  $N$ , respectively.

**Theorem 1** *The inequalities*

$$x_{n,k+1}^{M,N}(\alpha) < x_{n,k+1}(\alpha) < x_{n,k}^{M,N}(\alpha) < x_{n,k}(\alpha) \quad (1.2)$$

hold for every  $n \in \mathbb{N}$ ,  $n \geq 2$ , and each  $k$  with  $1 \leq k \leq n - 1$ . Moreover, for

every fixed  $n$  the smallest zero  $x_{n,n}^{M,N}(\alpha)$  satisfies

$$x_{n,n}^{M,N}(\alpha) > 0, \quad \text{for } N < N_0,$$

$$x_{n,n}^{M,N}(\alpha) = 0, \quad \text{for } N = N_0,$$

$$x_{n,n}^{M,N}(\alpha) < 0, \quad \text{for } N > N_0,$$

where

$$N_0 = \frac{(\alpha + 1)\Gamma(n - 1)\Gamma(\alpha + 4)}{\Gamma(n + \alpha + 2)}. \quad (1.3)$$

It is quite interesting that  $N_0$  does not depend on  $M$ .

In the case  $N = 0$  we obtain the following statement which was already derived by Dueñas and Marcellán [6].

**Corollary 1** *The inequalities*

$$0 < x_{n,k+1}^M(\alpha) < x_{n,k+1}(\alpha) < x_{n,k}^M(\alpha) < x_{n,k}(\alpha) \quad (1.4)$$

hold for every  $n \in \mathbb{N}$ ,  $n \geq 2$ , and each  $k$  with  $1 \leq k \leq n - 1$ . Moreover, the smallest zero  $x_{n,n}^M(\alpha)$  behaves like  $\mathcal{O}(1/M)$  as  $M$  goes to infinity.

When  $M = 0$  Theorem 1 yields:

**Corollary 2** *The inequalities*

$$x_{n,k+1}^N(\alpha) < x_{n,k+1}(\alpha) < x_{n,k}^N(\alpha) < x_{n,k}(\alpha) \quad (1.5)$$

hold for every  $n \in \mathbb{N}$ ,  $n \geq 2$ , and each  $k$  with  $1 \leq k \leq n - 1$ . Moreover, the smallest zero  $x_{n,n}^N(\alpha)$  satisfies

$$x_{n,n}^N(\alpha) > 0, \quad \text{for } N < N_0,$$

$$x_{n,n}^N(\alpha) = 0, \quad \text{for } N = N_0,$$

$$x_{n,n}^N(\alpha) < 0, \quad \text{for } N > N_0,$$

where  $N_0$  is given by (1.3).

Setting  $N_0 = \widehat{N}_0/\Gamma(\alpha + 1)$ , we conclude that the smallest zero  $\widehat{x}_{n,n}^{\widehat{N}}(\alpha)$  of the  $n$ th Laguerre-Sobolev-Type orthogonal polynomial defined by Dueñas and Marcellán [7] satisfies

$$\widehat{x}_{n,n}^{\widehat{N}}(\alpha) > 0, \quad \text{for } \widehat{N} < \widehat{N}_0,$$

$$\widehat{x}_{n,n}^{\widehat{N}}(\alpha) = 0, \quad \text{for } \widehat{N} = \widehat{N}_0,$$

$$\widehat{x}_{n,n}^{\widehat{N}}(\alpha) < 0, \quad \text{for } \widehat{N} > \widehat{N}_0,$$

where

$$\widehat{N}_0 = \Gamma(\alpha + 1)N_0 = \frac{\Gamma(n-1)\Gamma(\alpha+2)\Gamma(\alpha+4)}{\Gamma(n+\alpha+2)}. \quad (1.6)$$

Observe that comprehensive numerical results were furnished in [7] in an attempt to determine the values of  $\widehat{N}$  for which  $\widehat{x}_{n,n}^{\widehat{N}}(\alpha)$  is positive (negative). Needless to say, those numerical values of  $\widehat{N}_0$  coincide with our explicit expression (1.6).

It was proved in [6] that  $x_{n,k}^M(\alpha) \rightarrow x_{n-1,k}(\alpha + 2)$  as  $M \rightarrow \infty$ , for each  $k = 1, \dots, n-1$ . We provide a sharp quantitative result concerning the asymptotics of the differences  $x_{n,k}^M(\alpha) - x_{n-1,k}(\alpha + 2)$ .

**Theorem 2** *For every  $n \geq 2$  and each  $\alpha > -1$ ,*

$$0 < x_{n,n}^M(\alpha) < x_{n,n}(\alpha) < x_{n,n-1}(\alpha + 2) < x_{n,n-1}^M(\alpha) < x_{n-1,n-1}(\alpha) < \dots \\ \dots < x_{n-1,1}(\alpha + 2) < x_{n,1}^M(\alpha) < x_{n,1}(\alpha).$$

*Moreover, the zeros  $x_{n,k}^M(\alpha)$  are decreasing functions of  $M$ , with  $M > 0$ ,  $x_{n,n}^M(\alpha) \rightarrow 0$ ,  $x_{n,k}^M(\alpha) \rightarrow x_{n-1,k}(\alpha + 2)$  as  $M \rightarrow \infty$ ,*

$$\lim_{M \rightarrow \infty} Mx_{n,n}^M(\alpha) = (\alpha + 2)g_n(\alpha)$$

and

$$\lim_{M \rightarrow \infty} M[x_{n,k}^M(\alpha) - x_{n-1,k}(\alpha + 2)] = g_n(\alpha), \quad k = 1, \dots, n-1, \quad (1.7)$$

where

$$g_n(\alpha) = \frac{\alpha + 1}{(\alpha + 2)\binom{n+\alpha+1}{n-1}}. \quad (1.8)$$

It is quite surprising that the limit  $g_n(\alpha)$  in (1.7) does not depend on  $k$ .

**Theorem 3** *For every  $n \geq 2$  and each  $\alpha > -1$ , the quantities*

$$M[x_{n,1}^M(\alpha) - x_{n-1,1}(\alpha + 2)]$$

*are increasing functions of  $M > 0$ .*

In fact, numerical experiments suggest that the quantities  $Mx_{n,n}^M(\alpha)$  and

$$M[x_{n,k}^M(\alpha) - x_{n-1,k}(\alpha + 2)]$$

are increasing functions of  $M > 0$  for each  $k$  with  $1 \leq k \leq n - 1$ .

Having in mind the limit relation (1.7) in Theorem 2 and the monotonicity in Theorem 3, we obtain:

**Corollary 3** *The inequalities*

$$x_{n,1}^M(\alpha) \leq x_{n-1,1}(\alpha + 2) + g_n(\alpha)/M$$

*hold for every  $M > 0$ ,  $\alpha > -1$  and  $n \in \mathbb{N}$  with  $n \geq 2$ .*

In order to formulate the corresponding result about the asymptotic of  $x_{n,k}^N$ , we define the polynomial

$$\begin{aligned} F_{n,\alpha}(x) := & -\frac{n(\alpha + 2) - (\alpha + 1)}{(\alpha + 1)(\alpha + 3)} \binom{n + \alpha}{n - 2} L_n^{(\alpha)}(x) \\ & - \frac{n - 1}{\alpha + 1} \binom{n + \alpha}{n - 1} \frac{d}{dx} L_n^{(\alpha)}(x) - \frac{1}{\alpha + 1} \binom{n + \alpha}{n - 1} \frac{d^2}{dx^2} L_n^{(\alpha)}(x). \end{aligned} \quad (1.9)$$

**Theorem 4** *For every  $n \geq 2$  and each  $\alpha > -1$ , the polynomial  $F_{n,\alpha}(x)$  possesses only real distinct zeros that we denote by  $\zeta_{n,k}(\alpha)$ , arranged in decreasing order. Moreover,*

$$\zeta_{n,n}(\alpha) < x_{n,n}^N(\alpha) < x_{n,n}(\alpha) < \cdots < \zeta_{n,1}(\alpha) < x_{n,1}^N(\alpha) < x_{n,1}(\alpha).$$

*The zeros  $x_{n,k}^N(\alpha)$  are decreasing functions of  $N$ , for  $N > 0$ ,  $x_{n,k}^N(\alpha) \rightarrow \zeta_{n,k}(\alpha)$  as  $N \rightarrow \infty$ , and*

$$\lim_{N \rightarrow \infty} N[x_{n,k}^N(\alpha) - \zeta_{n,k}(\alpha)] = g_{n,k}(\alpha), \quad k = 1, \dots, n,$$

*where*

$$\begin{aligned} g_{n,k}(\alpha) = & \binom{n + \alpha}{n - 1} \frac{(n - 1)(n + \alpha + 1)}{(\alpha + 1)(\alpha + 2)^2(\alpha + 3)^2} \times \\ & \frac{a_n(\alpha)[\zeta_{n,k}(\alpha)]^2 + b_n(\alpha)\zeta_{n,k}(\alpha) + c_n(\alpha)}{n[\zeta_{n,k}(\alpha)]^2 - (\alpha + 1)\zeta_{n,k}(\alpha)} \end{aligned} \quad (1.10)$$

with

$$a_n(\alpha) = (\alpha + 2)^2 n^2 - \alpha(\alpha + 2)n - (\alpha + 1), \quad b_n(\alpha) = (\alpha + 1)(\alpha + 2)(\alpha + 3)$$

and

$$c_n(\alpha) = -(\alpha + 1)(\alpha + 2)^2(\alpha + 3).$$

The reader should observe that we make no claim about the monotonicity of the quantities  $N[x_{n,k}^N(\alpha) - \zeta_{n,k}(\alpha)]$ . Numerical experiments show that, curiously enough, all the quantities

$$N[x_{n,k}^N(\alpha) - \zeta_{n,k}(\alpha)], \quad k = 1, \dots, n-2, n,$$

increase with  $N > 0$  while  $N[x_{n,n-1}^N(\alpha) - \zeta_{n,n-1}(\alpha)]$  decreases.

## 2 Preliminaries

We consider the polynomials  $L_n^{(\alpha, M, N)}(x)$  which are orthogonal with respect to the inner product (1.1). Koekoek and Meijer [10] obtained the closed form representation

$$L_n^{(\alpha, M, N)}(x) = A_n L_n^{(\alpha)}(x) + B_n \frac{d}{dx} L_n^{(\alpha)}(x) + C_n \frac{d^2}{dx^2} L_n^{(\alpha)}(x), \quad (2.1)$$

where

$$\begin{aligned} A_n = A_n^{(M, N)}(\alpha) &= 1 + M \binom{n+\alpha}{n-1} + \frac{n(\alpha+2) - (\alpha+1)}{(\alpha+1)(\alpha+3)} N \binom{n+\alpha}{n-2} \\ &\quad + \frac{MN}{(\alpha+1)(\alpha+2)} \binom{n+\alpha}{n-1} \binom{n+\alpha+1}{n-2}, \end{aligned}$$

$$\begin{aligned} B_n = B_n^{(M, N)}(\alpha) &= M \binom{n+\alpha}{n} + \frac{n-1}{\alpha+1} N \binom{n+\alpha}{n-1} \\ &\quad + \frac{2MN}{(\alpha+1)^2} \binom{n+\alpha}{n} \binom{n+\alpha+1}{n-2}, \end{aligned}$$

$$C_n = C_n^{(M, N)}(\alpha) = \frac{N}{\alpha+1} \binom{n+\alpha}{n-1} + \frac{MN}{(\alpha+1)^2} \binom{n+\alpha}{n} \binom{n+\alpha+1}{n-1}.$$

We shall need some technical results concerning Laguerre polynomials (see [12]).

1. Three term recurrence relation:

$$\begin{aligned} L_{-1}^{(\alpha)}(x) &= 0, \quad L_0^{(\alpha)}(x) = 1, \\ nL_n^{(\alpha)}(x) &= (-x + 2n + \alpha - 1)L_{n-1}^{(\alpha)}(x) - (n + \alpha - 1)L_{n-2}^{(\alpha)}(x) \end{aligned} \quad (2.2)$$

2. MacLaurin expansion:

$$L_n^{(\alpha)}(x) = \sum_{k=0}^n (-1)^k \binom{n+\alpha}{n-k} \frac{x^k}{k!} = \frac{(-1)^n}{n!} x^n + \dots \quad (2.3)$$

3. Value at the origin:

$$L_n^{(\alpha)}(0) = \binom{n+\alpha}{n}, \quad n \geq 0. \quad (2.4)$$

4. Formulae for the derivative:

$$\frac{d}{dx} L_n^{(\alpha)}(x) = -L_{n-1}^{(\alpha+1)}(x) = \frac{1}{x} \{nL_n^{(\alpha)}(x) - (n+\alpha)L_{n-1}^{(\alpha)}(x)\}, \quad n \geq 1. \quad (2.5)$$

5. Second order linear differential equation:

$$x[L_n^{(\alpha)}(x)]'' + (\alpha + 1 - x)[L_n^{(\alpha)}(x)]' + nL_n^{(\alpha)}(x) = 0. \quad (2.6)$$

We also shall use a simple lemma concerning the behavior of the zeros of linear combinations of two polynomials with interlacing zeros.

**Lemma 1** *Let  $h_n(x) = (-1)^n a(x - x_1) \cdots (x - x_n)$  and  $g_n(x) = (-1)^{n-1} b(x - y_1) \cdots (x - y_n)$  be polynomials with real zeros, where  $a$  and  $b$  are real positive constants. If, for any real constant  $c > 0$ , the polynomial*

$$f(x) = h_n(x) - cg_n(x)$$

*has  $n$  real zeros  $\eta_n < \eta_{n-1} < \cdots < \eta_1$  which interlace with the zeros of  $h_n(x)$  in the following form*

$$\eta_n < x_n < \cdots < \eta_1 < x_1,$$

*then*

$$y_n < \eta_n < x_n < \cdots < y_1 < \eta_1 < x_1.$$

*Moreover, each  $\eta_k$  is a decreasing function of  $c$  and*

$$\lim_{c \rightarrow \infty} \eta_k = y_k, \quad k = 1, \dots, n.$$

We omit the proof because similar results about interlacing of zeros are known in the literature, see for instance [13], [11, p. 117] and [9, Theorem 5]. A monotonicity property of linear combination of polynomials with interlacing zeros was proved in [2, Lemma 1]. The lemma can be generalized for linear combination where instead of a constant,  $c$  is a continuous function of constant sign. Notice that, given  $n \in \mathbb{N}$  and two polynomials  $q_n(x)$  and  $q_{n-1}(x)$  with exact degrees  $n$  and  $n - 1$ , whose zeros interlace, it is very well known (see [13]) that they belong to a sequence of monic polynomials orthogonal with respect to a nontrivial probability measure  $\mu$  supported on the real line. The above lemma means that the polynomial  $f(x)$  is orthogonal with respect to the measure  $\mu$  to every polynomial of degree at most  $n - 2$ , i.e. it is quasi-orthogonal in the sense of [4, Definition 5.1 on page 64]. However, it does not follow from the interlacing of the zeros of  $q_n$  and  $q_{n-1}(x)$ , for all  $n = 2, 3 \dots$  that the sequence  $\{q_n\}_{n=1}^\infty$  is orthogonal, see [5].

Other recent results concerning zeros of linear combinations of orthogonal polynomials have been obtained in [1] and [3].

### 3 Proof of the Results

In order to prove Theorem 1 we shall need the following fact:

**Lemma 2** *If  $n \geq 2$  then*

$$nx_{n,k}(\alpha) - (\alpha + 1) > 0$$

*for each  $k$ ,  $k = 1, \dots, n$ , and for every  $\alpha > -1$ .*

**Proof.** Let  $n \geq 2$ ,  $1 \leq k \leq n$  and  $\alpha > -1$ . Then, using inequality (3.4) in Ismail and Li [8], we obtain

$$\begin{aligned} nx_{n,k}(\alpha) - (\alpha + 1) &\geq nx_{n,n}(\alpha) - (\alpha + 1) \\ &> (n - 1)(2n + \alpha - 1) - n\sqrt{1 + a(n - 1)(n + \alpha - 1)}, \end{aligned}$$

with  $a = 4 \cos^2(\pi/(n + 1))$ . Observe that

$$(n - 1)(2n + \alpha - 1) - n\sqrt{1 + a(n - 1)(n + \alpha - 1)} > 0$$

is equivalent to

$$a_n \alpha^2 + b_n \alpha + c_n > 0, \tag{3.1}$$



where

$$a_n = (n - 1)^2,$$

$$b_n = -(n - 1)[(a - 4)n^2 + 4n + 2],$$

$$c_n = -(n - 1)[(a - 4)n^3 + (4 - a)n^2 + 5n + 1].$$

Inequality (3.1) is true for  $n = 2$  and  $n = 3$  because it is equivalent to

$$(\alpha + 1)^2 > 0$$

and

$$4[(\alpha + 1)^2 + 9] > 0,$$

respectively.

Now, let  $n \geq 4$ . Obviously the leading coefficient  $a_n$  of (3.1) is positive and straightforward calculations show that the discriminant  $b_n^2 - 4a_n c_n$  is equal to

$$n^2(n - 1)^2[4(1 + 2a) - (4 - a)an^2].$$

We shall need to prove that the expression

$$\sigma(n) = 4(1 + 2a) - (4 - a)an^2$$

is negative for  $n \geq 4$ ,  $\alpha > -1$ , and  $a = 4 \cos^2(\pi/(n + 1))$ . In fact,  $\sigma(n) < 0$  if and only if

$$\frac{4(1 + 2a)}{a(4 - a)} < n^2.$$

Having in mind that

$$2 \cos^2(\theta) = 1 + \cos(2\theta), \quad 4 \cos^2(\theta) \sin^2(\theta) = \sin^2(2\theta),$$

$$\sin(\theta) \geq \frac{2\theta}{\pi}, \quad 0 \leq \theta \leq \frac{\pi}{2},$$

and setting  $\pi = (n + 1)\theta$  we obtain

$$\frac{4(1 + 2a)}{a(4 - a)} = \frac{5 + 4 \cos 2\theta}{\sin^2(2\theta)} \leq \frac{9\pi^2}{16\theta^2} = \frac{9}{16}(n + 1)^2.$$

Thus,

$$\frac{4(1 + 2a)}{a(4 - a)} \leq \frac{9}{16}(n + 1)^2 < n^2$$

for every  $n \geq 4$ .

**Proof of Theorem 1:** By (2.1)

$$L_n^{(\alpha, M, N)}(x) = A_n L_n^{(\alpha)}(x) - \Omega_{n-1}^{(\alpha)}(x), \quad (3.2)$$

where

$$\Omega_{n-1}^{(\alpha)}(x) = \Omega_{n-1}^{(\alpha, M, N)}(x) = -B_n \frac{d}{dx} L_n^{(\alpha)}(x) - C_n \frac{d^2}{dx^2} L_n^{(\alpha)}(x).$$

On the other hand, (2.2) and (2.5) yield

$$\begin{aligned} L_n^{(\alpha, M, N)}(x) &= \frac{1}{x^2} [A_n x^2 + n B_n x - n(\alpha + 1) C_n] L_n^{(\alpha)}(x) \\ &\quad - \frac{(n + \alpha)}{x^2} [(B_n + C_n)x - (\alpha + 1) C_n] L_{n-1}^{(\alpha)}(x). \end{aligned} \quad (3.3)$$

Now, evaluating (3.2) and (3.3) for  $x = x_{n,k}(\alpha)$  we obtain

$$\begin{aligned} L_n^{(\alpha, M, N)}(x_{n,k}(\alpha)) &= -\Omega_{n-1}^{(\alpha)}(x_{n,k}(\alpha)) \\ &= -\frac{(n + \alpha)}{[x_{n,k}(\alpha)]^2} [(B_n + C_n)x_{n,k}(\alpha) - (\alpha + 1) C_n] L_{n-1}^{(\alpha)}(x_{n,k}(\alpha)) \end{aligned} \quad (3.4)$$

for  $1 \leq k \leq n$ . Since  $B_n = B_n^{(M, N)}(\alpha)$  and  $C_n = C_n^{(M, N)}(\alpha)$  are increasing functions of  $M$ , by Lemma 2 we obtain

$$\begin{aligned} & \left( B_n^{(M, N)}(\alpha) + C_n^{(M, N)}(\alpha) \right) x_{n,k}(\alpha) - (\alpha + 1) C_n^{(M, N)}(\alpha) \\ & \geq \left( B_n^{(0, N)}(\alpha) + C_n^{(0, N)}(\alpha) \right) x_{n,k}(\alpha) - (\alpha + 1) C_n^{(0, N)}(\alpha) \\ & = C_n^{(0, N)}(\alpha) \left[ \left( \frac{B_n^{(0, N)}(\alpha)}{C_n^{(0, N)}(\alpha)} + 1 \right) x_{n,k}(\alpha) - (\alpha + 1) \right] \\ & = C_n^{(0, N)}(\alpha) [n x_{n,k}(\alpha) - (\alpha + 1)] > 0. \end{aligned}$$

Hence,

$$\text{sign} \left( L_n^{(\alpha, M, N)}(x_{n,k}(\alpha)) \right) = -\text{sign} \left( L_{n-1}^{(\alpha)}(x_{n,k}(\alpha)) \right) = (-1)^{n+1-k}, \quad (3.5)$$

for  $k = 1, \dots, n$ . This immediately implies that  $n - 1$  zeros of  $L_n^{(\alpha, M, N)}(x)$  interlace with the  $n$  zeros of  $L_n^{(\alpha)}(x)$ , i.e.,

$$x_{n,k+1}(\alpha) < x_{n,k}^{M, N}(\alpha) < x_{n,k}(\alpha), \quad n \geq 2, \quad 1 \leq k \leq n - 1.$$

Let us show that  $x_{n,n}^{M, N}(\alpha) < x_{n,n}(\alpha)$ . It is clear, by (3.5), that

$$L_n^{(\alpha, M, N)}(x_{n,n}(\alpha)) < 0.$$

On the other hand, (2.1) and (2.3) implies

$$\lim_{x \rightarrow -\infty} L_n^{(\alpha, M, N)}(x) = +\infty.$$

Then  $x_{n,n}^{M,N}(\alpha) < x_{n,n}(\alpha)$ .

In order to investigate the location of  $x_{n,n}^{M,N}(\alpha)$  with respect to the origin, it suffices to observe that  $L_n^{(\alpha,M,N)}(0) = 0$  if and only if  $N = N_0$ .

It remains to show that, for the case  $N = 0$ ,  $x_{n,n}^M(\alpha) > 0$  and  $x_{n,n}^M(\alpha) \sim \mathcal{O}(1/M)$  as  $M \rightarrow \infty$ . By (3.5)

$$L_n^{(\alpha,M,0)}(x_{n,n}(\alpha)) < 0$$

and, by (2.1) and (2.4),

$$L_n^{(\alpha,M,0)}(0) = 1.$$

Then  $x_{n,n}^M(\alpha) > 0$ .

It was proved in [6] that  $x_{n,n}^M(\alpha) \sim \mathcal{O}(1/M)$  as  $M \rightarrow \infty$ . Here we furnish a straightforward proof of this fact. Let  $\xi_n^M(\alpha)$  and  $\eta_n^M(\alpha)$  be the real numbers that

$$L_n^{(\alpha,M,0)}(0) + \frac{d}{dt}L_n^{(\alpha,M,0)}(t)|_{t=0} \xi_n^M(\alpha) = 0 \quad (3.6)$$

and

$$L_n^{(\alpha,M,0)}(0) + \frac{L_n^{(\alpha,M,0)}(x_{n,n}(\alpha)) - L_n^{(\alpha,M,0)}(0)}{x_{n,n}(\alpha)} \eta_n^M(\alpha) = 0. \quad (3.7)$$

By (3.6),  $\xi_n^M(\alpha)$  is the intersection of the tangent line to  $L_n^{(\alpha,M,0)}(x)$  at the point  $(0, L_n^{(\alpha,M,0)}(0))$  with the real axis, and by (3.7),  $\eta_n^M(\alpha)$  is the intersection of the secant line of  $L_n^{(\alpha,M,0)}(x)$  through  $(0, L_n^{(\alpha,M,0)}(0))$  and  $(x_{n,n}(\alpha), L_n^{(\alpha,M,0)}(x_{n,n}(\alpha)))$  with the real axis. Since  $L_n^{(\alpha,M,0)}(x)$  is a convex function in  $(0, x_{n,n}^M(\alpha))$  and  $0 < x_{n,n}^M(\alpha) < x_{n,n}(\alpha)$ , then

$$0 < \xi_n^M(\alpha) < x_{n,n}^M(\alpha) < \eta_n^M(\alpha) < x_{n,n}(\alpha). \quad (3.8)$$

Moreover, solving (3.6) and (3.7) we obtain

$$\xi_n^M(\alpha) = \frac{\alpha}{n + M \binom{n+\alpha}{n-1} (n-\alpha)}$$

and

$$\eta_n^M(\alpha) = \frac{x_{n,n}(\alpha) \binom{n+\alpha}{n}}{1 + M \binom{n+\alpha}{n} L_n^{(\alpha,M,0)}(x_{n,n}(\alpha))}.$$

Hence,  $\xi_n^M(\alpha)$  and  $\eta_n^M(\alpha)$  behave like  $\mathcal{O}(1/M)$  when  $M$  goes to infinity, and from inequalities (3.8), the smallest zero  $x_{n,n}^M(\alpha)$  also behaves like  $\mathcal{O}(1/M)$  as  $M \rightarrow \infty$ .

**Proof of Theorem 2:** By (2.1),  $L_n^{(\alpha, M, 0)}(x)$  can be written as

$$L_n^{(\alpha, M, 0)}(x) = L_n^{(\alpha)}(x) - MG_{n, \alpha}(x), \quad (3.9)$$

with

$$\begin{aligned} G_{n, \alpha}(x) &:= -\binom{n+\alpha}{n-1} L_n^{(\alpha)}(x) - \binom{n+\alpha}{n} \frac{d}{dx} L_n^{(\alpha)}(x), \\ &= \frac{1}{n} \binom{n+\alpha}{n-1} x L_{n-1}^{(\alpha+2)}(x), \end{aligned} \quad (3.10)$$

where the latter equality follows from (2.3). Thus, (3.9), (1.4) and Lemma 1 immediately imply the interlacing property

$$0 < x_{n, n}^M(\alpha) < x_{n, n}(\alpha) < \cdots < x_{n-1, 1}(\alpha+2) < x_{n, 1}^M(\alpha) < x_{n, 1}(\alpha). \quad (3.11)$$

Moreover, each  $x_{n, k}^M(\alpha)$  is an decreasing function of  $M$ , with  $M > 0$ . Also, we conclude that the zeros of  $L_n^{(\alpha, M, 0)}(x)$  go to the zeros of  $G_{n, \alpha}(x)$ , a result that was already obtained in [6]. In other words, we have that

$$\lim_{M \rightarrow \infty} x_{n, n}^M(\alpha) = 0, \quad \lim_{M \rightarrow \infty} x_{n, k}^M(\alpha) = x_{n-1, k}(\alpha+2), \quad 1 \leq k \leq n-1.$$

It follows from (3.9) and (3.11) that, for  $M$  sufficiently large,

$$MG'_{n, \alpha}(x_{n-1, k}(\alpha+2)) \sim \frac{L_n^{(\alpha)}(x_{n, k}^M(\alpha))}{x_{n, k}^M(\alpha) - x_{n-1, k}(\alpha+2)}, \quad 1 \leq k \leq n-1,$$

and

$$MG'_{n, \alpha}(0) \sim \frac{L_n^{(\alpha)}(x_{n, n}^M(\alpha))}{x_{n, n}^M(\alpha)}.$$

Therefore

$$\begin{aligned} \lim_{M \rightarrow \infty} M[x_{n, k}^M(\alpha) - x_{n-1, k}(\alpha+2)] &= \lim_{M \rightarrow \infty} \frac{L_n^{(\alpha)}(x_{n, k}^M(\alpha))}{G'_{n, \alpha}(x_{n-1, k}(\alpha+2))} \\ &= \frac{L_n^{(\alpha)}(x_{n-1, k}(\alpha+2))}{G'_{n, \alpha}(x_{n-1, k}(\alpha+2))} \end{aligned}$$

and

$$\lim_{M \rightarrow \infty} M[x_{n, n}^M(\alpha)] = \lim_{M \rightarrow \infty} \frac{L_n^{(\alpha)}(x_{n, n}^M(\alpha))}{G'_{n, \alpha}(0)} = \frac{L_n^{(\alpha)}(0)}{G'_{n, \alpha}(0)}.$$

Using (2.2), (2.4), (2.6), and (3.10) we obtain

$$\frac{G'_{n, \alpha}(x_{n-1, k}(\alpha+2))}{L_n^{(\alpha)}(x_{n-1, k}(\alpha+2))} = \frac{1}{g_n(\alpha)} \quad \text{and} \quad \frac{G'_{n, \alpha}(0)}{L_n^{(\alpha)}(0)} = \frac{1}{(\alpha+2)g_n(\alpha)},$$

where  $g_n(\alpha)$  is given by (1.8).

**Proof of Theorem 3:** By (3.11),

$$x_{n-1,1}(\alpha + 2) < x_{n,1}^M(\alpha) < x_{n,1}(\alpha).$$

Thus, by the Mean Value Theorem, there exist some real number  $\eta \in (x_{n-1,1}(\alpha + 2), x_{n,1}^M(\alpha))$  such that

$$[x_{n,1}^M(\alpha) - x_{n-1,1}(\alpha + 2)] = \frac{-L_n^{(\alpha)}(x_{n-1,1}(\alpha + 2))}{[L_n^{(\alpha, M, 0)}(x)]' |_{x=\eta}}. \quad (3.12)$$

On the other hand, differentiation in (3.9) yields

$$[L_n^{(\alpha)}(x)]' |_{x=x_{n,k}^M(\alpha)} \frac{dx_{n,k}^M(\alpha)}{dM} - G_{n,\alpha}(x_{n,k}^M(\alpha)) - MG'_{n,\alpha}(x_{n,k}^M(\alpha)) \frac{dx_{n,k}^M(\alpha)}{dM} = 0,$$

or equivalently,

$$\frac{dx_{n,k}^M(\alpha)}{dM} = \frac{L_n^{(\alpha)}(x_{n,k}^M(\alpha))}{M[L_n^{(\alpha, M, 0)}(x)]' |_{x=x_{n,k}^M(\alpha)}}. \quad (3.13)$$

From (3.12) and (3.13) we obtain

$$\begin{aligned} \frac{d}{dM} \{M[x_{n,1}^M(\alpha) - x_{n-1,1}(\alpha + 2)]\} &= M \frac{dx_{n,1}^M(\alpha)}{dM} + [x_{n,1}^M(\alpha) - x_{n-1,1}(\alpha + 2)] \\ &= \frac{L_n^{(\alpha)}(x_{n,1}^M(\alpha))}{[L_n^{(\alpha, M, 0)}(x)]' |_{x=x_{n,1}^M(\alpha)}} - \frac{L_n^{(\alpha)}(x_{n-1,1}(\alpha + 2))}{[L_n^{(\alpha, M, 0)}(x)]' |_{x=\eta}}. \end{aligned}$$

Since, depending on the parity of  $n$ ,  $L_n^{(\alpha, M, 0)}(x)$  and  $L_n^{(\alpha)}(x)$  both are either increasing and convex or decreasing and concave functions in  $(x_{n-1,1}(\alpha + 2), \infty)$ , we conclude that

$$|L_n^{(\alpha)}(x_{n,1}^M(\alpha))| < |L_n^{(\alpha)}(x_{n-1,1}(\alpha + 2))|$$

and

$$\left| [L_n^{(\alpha, M, 0)}(x)]' |_{x=x_{n,1}^M(\alpha)} \right| > \left| [L_n^{(\alpha, M, 0)}(x)]' |_{x=\eta} \right|,$$

which completes the proof of Theorem 3.

**Proof of Theorem 4:** Recall that

$$\begin{aligned} F_{n,\alpha}(x) &= -\frac{n(\alpha + 2) - (\alpha + 1)}{(\alpha + 1)(\alpha + 3)} \binom{n + \alpha}{n - 2} L_n^{(\alpha)}(x) \\ &\quad - \frac{n - 1}{\alpha + 1} \binom{n + \alpha}{n - 1} \frac{d}{dx} L_n^{(\alpha)}(x) - \frac{1}{\alpha + 1} \binom{n + \alpha}{n - 1} \frac{d^2}{dx^2} L_n^{(\alpha)}(x). \end{aligned}$$

Then, by (2.1), we can rewrite  $L_n^{(\alpha,0,N)}(x)$  as

$$L_n^{(\alpha,0,N)}(x) = L_n^{(\alpha)}(x) - NF_{n,\alpha}(x). \quad (3.14)$$

Thus, (3.14), (1.5) and Lemma 1 immediately imply the interlacing property

$$\zeta_{n,n}(\alpha) < x_{n,n}^N(\alpha) < x_{n,n}(\alpha) < \cdots < \zeta_{n,1}(\alpha) < x_{n,1}^N(\alpha) < x_{n,1}(\alpha), \quad (3.15)$$

where  $\zeta_{n,n}(\alpha) < \cdots < \zeta_{n,1}(\alpha)$  are the zeros of  $F_{n,\alpha}(x)$ . Moreover, each  $x_{n,k}^N(\alpha)$  is an decreasing function of  $N$ , with  $N > 0$ . Also, we conclude that the zeros of  $L_n^{(\alpha,0,N)}(x)$  go to the zeros of  $F_{n,\alpha}(x)$ , that is,

$$\lim_{M \rightarrow \infty} x_{n,k}^N(\alpha) = \zeta_{n,k}(\alpha), \quad 1 \leq k \leq n.$$

It follows from (3.14) and (3.15) that

$$NF'_{n,\alpha}(\zeta_{n,k}(\alpha)) \sim \frac{L_n^{(\alpha)}(x_{n,k}^N(\alpha))}{x_{n,k}^N(\alpha) - \zeta_{n,k}(\alpha)}, \quad 1 \leq k \leq n,$$

for all sufficiently large values of  $N$ . In other words, we have

$$\lim_{N \rightarrow \infty} N[x_{n,k}^N(\alpha) - \zeta_{n,k}(\alpha)] = \lim_{N \rightarrow \infty} \frac{L_n^{(\alpha)}(x_{n,k}^N(\alpha))}{F'_{n,\alpha}(\zeta_{n,k}(\alpha))} = \frac{L_n^{(\alpha)}(\zeta_{n,k}(\alpha))}{F'_{n,\alpha}(\zeta_{n,k}(\alpha))}.$$

Using (2.2), (2.4), (2.6), and (1.9) we obtain

$$\frac{F'_{n,\alpha}(\zeta_{n,k}(\alpha))}{L_n^{(\alpha)}(\zeta_{n,k}(\alpha))} = \frac{1}{g_{n,k}(\alpha)},$$

where  $g_{n,k}(\alpha)$  is given by (1.10).

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